

## A $\mathbf{Z} \times \mathbf{Z}$ STRUCTURALLY STABLE ACTION

BY

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**ABSTRACT.** We consider in the product of spheres  $S^m \times S^n$  the  $\mathbf{Z} \times \mathbf{Z}$ -action generated by two simple Morse-Smale diffeomorphisms; if they have some kind of general position, the action is shown to be stable. An application is made to foliations.

**1. Introduction.** Our aim here is to present a very simple example of a structurally stable  $\mathbf{Z} \times \mathbf{Z}$ -action. The stability of differentiable Lie group actions on manifolds has been extensively studied when the group is  $\mathbf{R}$  or  $\mathbf{Z}$ . For compact groups, we have the following theorem [5]: if  $G$  is a compact Lie group, every  $C^1$   $G$ -action is (parametrically) structurally stable. We also mention the treatment in [7] of  $\Omega$ -stability of actions. For noncompact Lie groups other than  $\mathbf{Z}$  or  $\mathbf{R}$  it is interesting to look for structurally stable examples. This has been done in some cases. In [2] we have examples of stable  $\mathbf{R}^2$ -actions on spheres  $S^n$ , of class  $C^2$ , and in [1] and [6] the result that for an open subset  $\mathcal{Q} \subseteq \text{Diff}^\infty(M)$ , with the  $C^\infty$  topology, the pair  $(f^n, f^m)$  generates a structurally stable action of  $\mathbf{Z} \times \mathbf{Z}$  on  $M$ , where  $f \in \mathcal{Q}$  and  $n, m \in \mathbf{Z}$ . This last statement follows from the fact that diffeomorphisms belonging to  $\mathcal{Q}$  have discrete centralizers. In our examples we use such diffeomorphisms but in a different manner. Here, the action is not generated by the powers of a single diffeomorphism.

First of all we make some definitions. Let  $G$  be a Lie group and  $M$  a  $C^\infty$  manifold. An action  $\phi: G \times M \rightarrow M$  is a  $C^\infty$  map satisfying

- (i)  $\phi(1, x) = x, \forall x \in M$ ,
- (ii)  $\phi(g_1, (g_2, x)) = \phi(g_1 \cdot g_2, x), \forall g_1, g_2 \in G$  and  $x \in M$ .

Let  $\phi(g): M \rightarrow M$  be the  $C^\infty$  diffeomorphism given by  $\phi(g)(x) = \phi(g, x)$ .

The actions  $\phi$  and  $\Psi$  are (parametrically) *conjugate* if there exists a homeomorphism  $h: M \rightarrow M$  satisfying  $h \cdot \phi(g) = \Psi(g) \cdot h, \forall g \in G$ .

If  $M$  is compact we introduce the  $C^r$  metric ( $r \geq 1$ ) in the set of actions: let  $d$  be the uniform  $C^r$  metric in  $\text{Diff}^\infty(M)$  and  $K \subseteq G$  be a compact generator; then  $\bar{d}(\phi, \Psi) = \sup_{g \in K} d(\phi(g), \Psi(g))$ .

Now we can say that an action  $\phi$  is (parametrically)  $C^r$ -*structurally stable* if there exists a neighborhood  $N(\phi)$  of  $\phi$  in the  $C^r$  topology such that every  $\Psi \in N(\phi)$  is conjugate to  $\phi$ .

We restrict ourselves to the case  $G = \mathbf{Z} \times \mathbf{Z}$ . If  $\phi: (\mathbf{Z} \times \mathbf{Z}) \times M \rightarrow M$  is an

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action, the diffeomorphisms  $\phi((1, 0)) = F_\phi$  and  $\phi((0, 1)) = H_\phi$  are its generators. The definitions given above reduce to (i)  $\phi$  and  $\Psi$  are  $C'$ -close if  $F_\phi$  is  $C'$ -close to  $F_\Psi$  and  $H_\phi$  is  $C'$ -close to  $H_\Psi$ , (ii)  $\phi$  is  $C'$ -structurally stable if for every action  $\Psi$  close to  $\phi$  there exists a homeomorphism  $h: M \rightarrow M$  such that  $hF_\phi = F_\Psi h$  and  $hH_\phi = H_\Psi h$ . Clearly,  $F_\phi H_\phi = H_\phi F_\phi$ . If the generators of  $\phi$  are powers of the same diffeomorphism we call it an *elementary action*.

**THEOREM.** *There exist nonelementary  $C^3$ -structurally stable  $\mathbf{Z} \times \mathbf{Z}$ -actions on  $S^n \times S^m$ .*

These actions have a fairly simple nature. We choose  $f \in \text{Diff}^\infty(S^n)$  and  $g \in \text{Diff}^\infty(S^m)$  with discrete centralizers (and another condition we will give later on), and take  $\phi$  defined by  $F_\phi = (f, \text{Id})$  and  $H_\phi = (\text{Id}, g)$ . The idea is to show that the product structure of the action persists, in some sense, under perturbations.

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**2. Proof of the Theorem.** First we give an outline of the proof. Let  $f \in \text{Diff}^\infty(S^n)$  and  $g \in \text{Diff}^\infty(S^m)$  be Morse-Smale diffeomorphisms with two periodic points (a source and a sink); call  $A$  and  $B$  the sink and the source of  $f$  and  $C$  and  $D$  the sink and the source of  $g$ , respectively. Let  $\phi$  be the  $\mathbf{Z} \times \mathbf{Z}$ -action generated by  $F_\phi = (f, \text{Id})$  and  $H_\phi = (\text{Id}, g)$ . The set  $\Omega_\phi = S^n \times \{C\} \cup S^n \times \{D\} \cup \{A\} \times S^m \cup \{B\} \times S^m$  is invariant under  $\phi$  (the nonwandering set of  $\phi$ ). The stable and unstable manifolds of the points in  $\Omega_\phi$  form a grid on  $S^n \times S^m$  in the following sense. Each  $\{P\} \times S^m$  is the  $H_\phi$ -stable manifold of  $(P, C)$  and each  $S^n \times \{Q\}$  is the  $F_\phi$ -stable manifold of  $(A, Q)$ . These manifolds coincide with the  $H_\phi$  and  $F_\phi$ -unstable manifolds of  $(P, D)$  and  $(B, Q)$ , respectively. An action  $\Psi$  close to  $\phi$  has generators  $F_\Psi$  and  $H_\Psi$  close to  $F_\phi$  and  $H_\phi$ . We begin by showing that there exists a  $\Psi$ -invariant set  $\Omega_\Psi$  close to  $\Omega_\phi$  (and homeomorphic to it). Furthermore, the restrictions of both actions to these sets are conjugate. Let  $V_\Psi^1, V_\Psi^2, W_\Psi^1$  and  $W_\Psi^2$  be the subsets of  $\Omega_\Psi$  corresponding to  $S^n \times \{C\}, S^n \times \{D\}, \{A\} \times S^m$  and  $\{B\} \times S^m$ . We show then that the  $H_\Psi$ -stable manifolds of the points in  $V_\Psi^1$  are exactly the same as the  $H_\Psi$ -unstable manifolds of the points in  $V_\Psi^2$  (the corresponding fact holds for  $F_\Psi$  too) if  $f$  and  $g$  satisfy some conditions which relate them. Therefore we have, as before, a grid for  $\Psi$ , and from that we can prove that  $\phi$  and  $\Psi$  are conjugate.

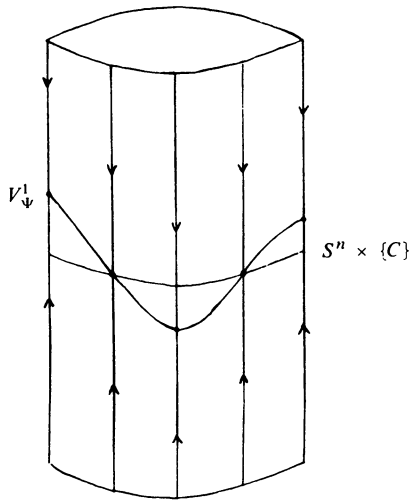
Now we come to the proof.

**Step 1.** We choose  $f \in \text{Diff}^\infty(S^n)$  and  $g \in \text{Diff}^\infty(S^m)$ , both Morse-Smale diffeomorphisms with two periodic points (a sink and a source) and having  $C^0$ -discrete centralizers (we may assume persistence of this property under perturbations in the  $C^3$  topology, see [1]). We observe that for existence of such  $f$  and  $g$  it is necessary to assume at each fixed point that all eigenvalues are distinct and have no resonance relations.

Let us call the condition above C1 ( $C^0$ -discrete centralizers and persistence). In Step 4 conditions C2, C3, C4 and C5 are introduced. Let  $A$  and  $B$  be the sink and the source of  $f$ ,  $C$  and  $D$  the sink and the source of  $g$  and  $\phi$  the  $\mathbf{Z} \times \mathbf{Z}$ -action generated by  $F_\phi = (f, \text{Id})$  and  $H_\phi = (\text{Id}, g)$ .

CLAIM. If  $\Psi$  is  $C^3$ -close to  $\phi$  there exist  $C^\infty$  submanifolds of  $S^n \times S^m$ — $V_\Psi^1, V_\Psi^2, W_\Psi^1$  and  $W_\Psi^2$ —close to  $S^n \times \{C\}, S^n \times \{D\}, \{A\} \times S^m$  and  $\{B\} \times S^m$  and invariant under  $\Psi$ . Furthermore,  $\phi|_{S^n \times \{C\}}$  is conjugate to  $\Psi|_{V_\Psi^1}, \phi|_{S^n \times \{D\}}$  is conjugate to  $\Psi|_{V_\Psi^2}$  and so on.

In fact,  $S^n \times \{C\}$  is a normally hyperbolic attracting submanifold for  $H_\phi = (\text{Id}, g)$  and  $H_\phi|_{S^n \times \{C\}} = \text{Id}$ . Therefore  $H_\Psi$  has an attracting  $C^\infty$  submanifold  $V_\Psi^1$ , normally hyperbolic and close to  $S^n \times \{C\}$  (see [3]). It is easy to see that  $V_\Psi^1$  is also invariant by  $F_\Psi$ . We “project”  $F_\Psi|_{V_\Psi^1}$  along the  $H_\Psi$ -stable manifolds of the points in  $V_\Psi^1$  in order to get  $\tilde{F}: S^n \times \{C\} \rightarrow S^n \times \{C\}$ . The diffeomorphism  $\tilde{F}$  is differentiably conjugate to  $F_\Psi|_{V_\Psi^1}$  and  $C^3$ -close to  $F_\phi|_{S^n \times \{C\}}$ . Then  $\tilde{F}$  is a northpole-southpole diffeomorphism conjugate to  $F_\phi|_{S^n \times \{C\}}$ , and from that we deduce that  $F_\Psi|_{V_\Psi^1}$  and  $F_\phi|_{S^n \times \{C\}}$  are conjugate.



Furthermore, “projecting”  $H_\Psi|_{V_\Psi^1}$  along the  $H_\Psi$ -stable manifolds of the points in  $V_\Psi^1$  in order to get  $\tilde{H}: S^n \times \{C\} \rightarrow S^n \times \{C\}$ , we have that  $\tilde{H}$  and  $\tilde{F}$  are  $C^0$ -close to the identity. It turns out that  $\tilde{H} = \text{Id}$  and from this we get  $H_\Psi|_{V_\Psi^1} = \text{Id}$ . The same argument holds for the other submanifolds. We note that the four points  $V_\Psi^i \cap W_\Psi^j$  are fixed points for the action  $\Psi$ .

Step 2. Now we prove the following lemma.

LEMMA 1. Let  $L: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}^n \times \mathbb{R}^m$  be a linear map,  $L(v, 0) = (v, 0)$  for  $v \in \mathbb{R}^n$  and

$$L|_{\{0\} \times \mathbb{R}^m} = \begin{bmatrix} \mu_1 & & 0 \\ & \ddots & \\ 0 & & \mu_m \end{bmatrix},$$

with  $0 < |\mu_j| < 1$  distinct and  $\mu_j \in \mathbb{R}$ ,  $1 \leq j \leq m$ . Consider a  $C^\infty$  map  $\xi: V \times D \rightarrow \mathbb{R}^m$ , where  $V \subseteq \mathbb{R}^n$  is compact,  $D \subseteq \mathbb{R}^m$  is an open neighborhood of  $0 \in \mathbb{R}^m$  and  $\xi_1(T, 0) = \dots = \xi_m(T, 0) = 0$ , and the  $m$ -parameter family of submanifolds

$$S_x = \{(T, \xi_1(T, x), \dots, \xi_m(T, x)), T \in V \text{ and } x = (x_1, \dots, x_j, \dots, x_m) \in D\}.$$

If this family is  $L$ -invariant, that is, if  $S_{L(x)} = LS_x$ , and if  $\mu_j \neq \prod_{i=1}^m \mu_i^{n_i}$ ,  $\forall n_i \geq 0$ ,  $\sum_{i=1}^m n_i \geq 2$ , then there exist  $C^\infty$  maps  $A_j(T)$  such that  $\xi_j(T, x) = x_j A_j(T)$ .

PROOF. Invariance means

$$\xi_j(T, \mu_1^k x_1, \dots, \mu_j^k x_j, \dots, \mu_m^k x_m) = \mu_j^k \xi_j(T, x_1, \dots, x_j, \dots, x_m)$$

$$\text{or } \xi_j(T, Ux) = \mu_j \xi_j(T, x).$$

We have  $\xi_j(T, x) = \sum_{|\sigma| \leq k} A_\sigma^j(T) x^\sigma + R(T, x)$  where  $R(T, x)/|x|^k \rightarrow 0$  as  $|x| \rightarrow 0$ , and

$$x^\sigma = x_1^{\sigma_1} \dots x_m^{\sigma_m}, \quad |\sigma| = \sigma_1 + \dots + \sigma_m.$$

We then have

$$\begin{aligned} \xi_j(T, U^l x) &= \sum_{|\sigma| \leq k} A_\sigma^j(T) (Ux)^\sigma + R(T, U^l x) \\ &= \sum_{|\sigma| \leq k} A_\sigma^j(T) \mu^\sigma x^\sigma + R(T, U^l x) = \mu_j^l \xi_j(T, x) \\ &= \mu_j^l \sum_{|\sigma| \leq k} A_\sigma^j(T) x^\sigma + \mu_j^l R(T, x). \end{aligned}$$

Given  $\varepsilon > 0$ , for  $|x|$  small enough we may write  $|R(T, x)| < \varepsilon |x|^k$ . Since  $U$  is a contraction, we have  $|R(T, U^l x)| < \varepsilon |U^l x|^k \leq \varepsilon |U|^k |x|^k$ ,  $\forall l > N_0$ .

Then  $|\mu_j^l| |R(T, x)| < \varepsilon |U|^k |x|^k$ . From this, it follows that  $|R(T, x)| < \varepsilon (|U|^k / |\mu_j|^l) |x|^k$ .

We choose  $k$  in order to have  $|\mu_i^k| < |\mu_j|$ ,  $\forall i \neq j$ ; as  $l \rightarrow \infty$  we get  $R(T, x) = 0$ . Then,

$$\xi_j(T, x) = \sum_{|\sigma| \leq k} A_\sigma^j(T) x_\sigma.$$

Now,  $\mu^\sigma A_\sigma^j(T) = \mu_j^l A_\sigma^j(T)$ . From the absence of resonances and  $\mu_i \neq \mu_j$  for  $i \neq j$  we obtain  $A_\sigma^j(T) = 0$  whenever  $|\sigma| \geq 2$  or  $\sigma \neq j$  if  $|\sigma| = 1$ .

REMARKS. (1) Lemma 1 is essentially a theorem of [4, p. 167].

(2) The following is implied by the lemma: if  $(T, \xi_1(T, a), \dots, \xi_m(T, a)) \in S_a$  and  $(T, \xi_1(T, b), \dots, \xi_m(T, b)) \in S_b$  then

$$\xi_i(T, a)/a_i = \xi_i(T, b)/b_i \quad \text{or} \quad \xi_i(T, b) = (b_i/a_i) \xi_i(T, a).$$

This means that knowledge of the submanifold for some value of the parameter gives a knowledge of all the submanifolds of the family.

(3) It is easy to extend the lemma to the case where  $L$  has complex eigenvalues.

We consider

(i)

$$L = \begin{bmatrix} \mu_1 & & & & & \\ & \ddots & & & & \\ & & \mu_m & & & \\ & & & A_1 & & \\ & 0 & & & \ddots & \\ & & & & & A_s \end{bmatrix}$$

where  $0 < |\mu_j| < 1$ ,  $\mu_j \in \mathbf{R}$  ( $1 \leq j \leq m$ ),

$$A_j = e^{\lambda_j} \begin{bmatrix} \cos \theta_j & -\sin \theta_j \\ \sin \theta_j & \cos \theta_j \end{bmatrix}$$

and  $\lambda_i < 0$  ( $1 \leq i \leq s$ ),

(ii) a differentiable map  $\phi: V \times D \rightarrow \mathbf{R}^{m+2s}$ ,  $\phi = (\xi_1, \dots, \xi_m, \eta_1, \zeta_1, \dots, \eta_s, \zeta_s)$ , (where  $V \subseteq \mathbf{R}^n$  is an open set and  $D \subseteq \mathbf{R}^{m+2s}$  is a neighborhood of  $0 \in \mathbf{R}^{m+2s}$ ), and the  $(m + 2s)$ -parameter family of submanifolds

$$\begin{aligned} S_{(x,z)} = \{ & (T, \xi_1(T, x, z), \dots, \xi_m(T, x, z), \eta_1(T, x, z), \\ & \zeta_1(T, x, z), \dots, \eta_s(T, x, z), \zeta_s(T, x, z)), \\ & T \in V, (x, z) = (x_1, \dots, x_m, z_1, w_1, \dots, z_s, w_s) \in D \}. \end{aligned}$$

If this family is  $L$ -invariant, there exist differentiable maps  $A_j(T)$ ,  $B_i(T)$ ,  $C_i(T)$  such that

$$\begin{aligned} \xi_j(T, x, z) &= x_j A_j(T), \quad \eta_i(T, x, z) = z_i B_i(T) - w_i C_i(T), \\ \zeta_i(T, x, z) &= z_i C_i(T) + w_i B_i(T). \end{aligned}$$

**Step 3.** The diffeomorphisms  $f \in \text{Diff}^\infty(S^n)$  and  $g \in \text{Diff}^\infty(S^m)$  chosen at Step 1 satisfy the condition of no resonances between the eigenvalues of sinks (and sources) (see [1]). This condition implies that we can linearize them near those critical points, and that the linearizations vary “continuously” with the diffeomorphisms.

We then have the following lemma (notation as before).

**LEMMA 2.** *Let  $D \subseteq S^n \times S^m$  and  $D_1 \times D_2 \subseteq \mathbf{R}^n \times \mathbf{R}^m$  be disks such that  $(A, C) \in D$  and  $(0, 0) \in (D_1 \times D_2)$ . Then there exist a neighborhood  $N$  of the action  $\phi$  (in the  $C^3$ -topology) and a  $C^0$  map  $R: N \rightarrow \text{Emb}^2(D, D_1 \times D_2)$  such that, for  $\Psi \in N$ , we have*

- (i)  $R(\Psi)H_\Psi R(\Psi)^{-1}(v_1, v_2) = (v_1, Lv_2)$  where  $L \in GL(\mathbf{R}^m)$ ,
- (ii)  $R(\Psi)(V_\Psi^1 \cap D) \subseteq D_1$ ,  $R(\Psi)(W_\Psi^1 \cap D) \subseteq D_2$ ,  $R(\Psi)(W_{H_\Psi^s}(a) \cap D) = \{R(\Psi)(a)\} \times D_2$  and  $R(\Psi)(W_{F_\Psi^s}(b) \cap D) = D_1 \times \{R(\Psi)(b)\}$  for  $a \in V^1$  and  $b \in W^1$  (here  $W_{H_\Psi^s}(a)$  denotes the  $H_\Psi$ -stable manifold of  $a$ ).

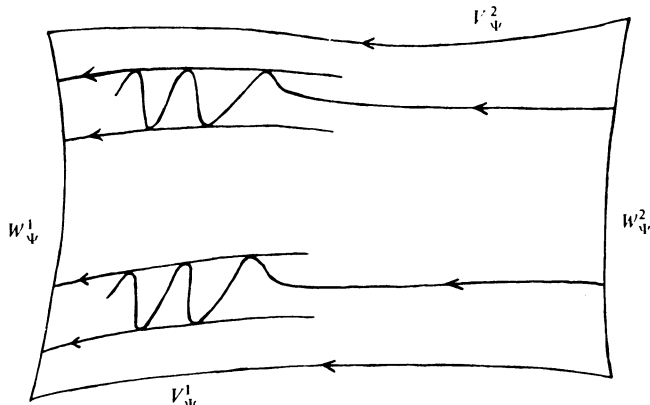
**PROOF.** The embedding  $R(\phi)$  is defined as follows. Take  $\bar{R}(\phi)$ , from a neighborhood of  $(A, C)$  (in  $W_\phi^1$ ) to  $D_2$ , that linearizes  $H_\phi$ , and  $\tilde{R}(\phi)$  an embedding of a neighborhood of  $(A, C)$  (in  $V_\phi^1$ ) into  $V_\phi^1$ . Given  $z \in S^n \times S^m$  belonging to a

neighborhood of  $(A, C)$ , there exist  $a \in V_\phi^1$  and  $b \in W_\phi^1$  such that  $\{z\} = W_{H_\phi}^s(A) \cap W_{H_\phi}^u(b)$ . Then we define  $R(\phi)(z)$  as  $(\tilde{R}(\phi)(a), \bar{R}(\phi)(b))$ . The Lemma from [1, p. 145] implies that this construction may be done continuously for actions close to  $\phi$ .

REMARKS. (1) We may suppose that  $R(\Psi)H_\Psi R(\Psi)^{-1}|D_2$  is in Jordan normal form.

(2) Lemma 2 is true for the other fixed points.

We relate Lemma 1 and Lemma 2 as follows. By Step 1 every action  $\Psi$  close to  $\phi$  has invariant submanifolds  $V_\Psi^i, W_\Psi^j$ ,  $1 \leq i, j \leq 2$ , such that the points  $V_\Psi^1 \cap W_\Psi^j$  are fixed for  $\Psi$ . The family of  $F_\Psi$ -unstable manifolds of points in  $W_\Psi^2$  close to  $V_\Psi^2 \cap W_\Psi^2$  hits points close to  $V_\Psi^2 \cap W_\Psi^1$ . From there it is taken by  $H_\Psi$  to a neighborhood of  $V_\Psi^1 \cap W_\Psi^1$  so that it coincides with the family of  $F_\Psi$ -unstable manifolds that comes from points in  $W_\Psi^2$  close to  $V_\Psi^1 \cap W_\Psi^2$  (we observe that  $H_\Psi$  preserves respectively the stable and unstable manifolds of the points in  $W_\Psi^2$  and  $W_\Psi^1$ ). After linearizing  $H_\Psi$  near  $V_\Psi^i \cap W_\Psi^1$ ,  $i = 1, 2$ , we may apply Lemma 1.



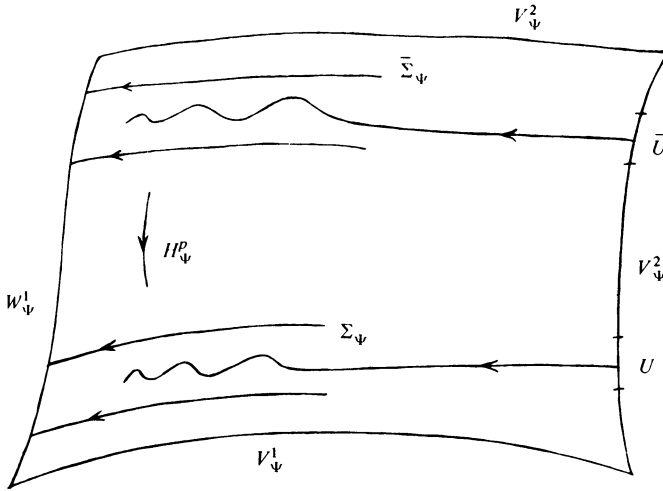
Step 4. What conditions must  $g \in \text{Diff}^\infty(S^m)$  satisfy in order that the  $F_\Psi$ -unstable manifolds of the points of  $W_\Psi^2$  coincide with the  $F_\Psi$ -stable manifolds of the points of  $W_\Psi^1$  for  $\Psi$  close to  $\phi$ ? We will answer this question now.

We know that some power  $H_\Psi^p$  takes a fundamental domain  $\bar{\Sigma}_\Psi$  for  $H_\Psi$ , close to  $V_\Psi^2 \cap W_\Psi^1$ , to a fundamental domain  $\Sigma_\Psi$  for  $H_\Psi$ , close to  $V_\Psi^1 \cap W_\Psi^1$ . This map has the following properties.

- (i)  $H_\Psi^p(W_\Psi^1 \cap \bar{\Sigma}_\Psi) = W_\Psi^1 \cap \Sigma_\Psi$ .
- (ii)  $H_\Psi^p = g^p$ .
- (iii) If  $(\cdot) \in W_\Psi^1$  then  $H_\Psi^p(W_\Psi^s(\cdot)) = W_\Psi^s(H_\Psi^p(\cdot))$ , where  $W_\Psi^s(\cdot)$  is the  $F_\Psi$ -stable manifold of the point  $(\cdot)$ .
- (iv) If  $(\cdot) \in W_\Psi^2$ , then  $H_\Psi^p(W_\Psi^u(\cdot)) = W_\Psi^u(H_\Psi^p(\cdot))$ , where  $W_\Psi^u(\cdot)$  is the  $F_\Psi$ -unstable manifold of the point  $(\cdot)$ .

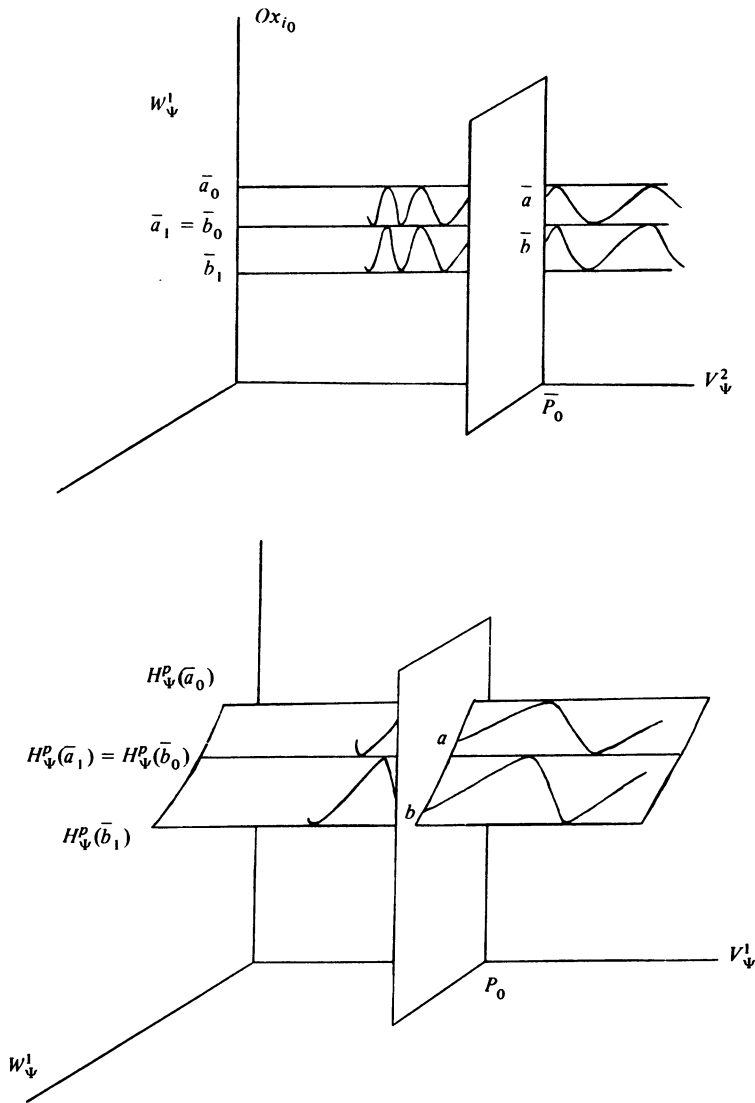
We point out that there exist open subsets  $U, \bar{U}$  contained in  $W_\Psi^2$ , close to

$V_\Psi^2 \cap W_\Psi^2$  and  $V_\Psi^1 \cap W_\Psi^2$  such that if  $(\cdot) \in U$  ( $(\cdot) \in \bar{U}$ ) then  $W_\Psi^u(\cdot) \cap D \subseteq \Sigma_\Psi$  ( $W_\Psi^u(\cdot) \subseteq \bar{D} \cap \bar{\Sigma}_\Psi$ ). ( $D$  and  $\bar{D}$  are disks around  $V_\Psi^1 \cap W_\Psi^1$  and  $V_\Psi^2 \cap W_\Psi^1$  where Lemma 2 holds.) After linearizing  $H_\Psi$  in  $D$  and  $\bar{D}$  we get two local actions defined in a neighborhood of  $(0, 0) \in \mathbf{R}^n \times \mathbf{R}^m$  (we will maintain the notation after linearizations have been carried out). The  $\mathbf{Z} \times \mathbf{Z}$  local action on  $D$  is generated by  $F_\Psi$  and  $H_\Psi$ ,  $F_\Psi|_{W_\Psi^1} = F_\Psi|_{\{0\} \times \mathbf{R}^m} = \text{Id}$ . The  $F_\Psi$ -stable manifold of  $(0, P) \in W_\Psi^1$  is  $\mathbf{R}^n \times \{P\}$  and  $H_\Psi|_{\{0\} \times \mathbf{R}^m}$  is diagonalizable in the canonical basis (the semisimple case is analogous). For the  $\mathbf{Z} \times \mathbf{Z}$  local action defined on  $\bar{D}$  we have corresponding statements.



The family  $\mathcal{F}$  ( $\bar{\mathcal{F}}$ ) in  $D$  ( $\bar{D}$ ) of the  $F_\Psi$ -unstable manifolds of the points in  $W_\Psi^2$  close to  $V_\Psi^1 \cap W_\Psi^2$  ( $V_\Psi^2 \cap W_\Psi^2$ ) is a differentiable  $m$ -parameter family. We take the parameter as the single point where each unstable manifold crosses  $\{P_0\} \times \mathbf{R}^n$  for some  $P_0$  ( $\{\bar{P}_0\} \times \mathbf{R}^n$ ). This is an  $H_\Psi(H_\Psi^{-1})$  invariant family in the sense of Lemma 1, so it can be described as  $\mathcal{F} = \{S_x\}_{x \in \mathbf{R}^m}$ , ( $\bar{\mathcal{F}} = \{\bar{S}_x\}_{x \in \mathbf{R}^m}$ ) with  $S_x = \{(T, \xi_1(T, x), \dots, \xi_m(T, x))\}$ , where  $T$  belongs to a fundamental domain of  $F_\Psi$  in  $V_\Psi^1$  containing  $P_0$  ( $\bar{S}_x = \{(T, \bar{\xi}_1(T, x), \dots, \bar{\xi}_m(T, x))\}$ ,  $T$  belonging to a fundamental domain of  $F_\Psi$  in  $V_\Psi^2$  containing  $\bar{P}_0$ ).

Consider  $\bar{\mathcal{F}}$  when its parameter belongs to a coordinate axis, say the  $i_0$ th coordinate axis  $Ox_{i_0}$ . By Lemma 1 we see that the submanifold  $V_\Psi^2 \times Ox_{i_0} - \{0\} \times Ox_{i_0}$  is saturated by  $\bar{\mathcal{F}}$ . Fix  $\bar{a} \in Ox_{i_0}$ , ( $\bar{a} \neq 0$ ). There exists an interval  $[\bar{a}_1, \bar{a}_0]$  in  $Ox_{i_0}$  such that  $\bar{S}_{(0, \dots, \bar{a}, \dots, 0)} \subseteq \mathbf{R}^n \times \{(0, \dots, \bar{x}, \dots, 0), \bar{x} \in [\bar{a}_1, \bar{a}_0]\}$  which is minimal for this property. By Lemma 1, if  $\bar{b} \in Ox_{i_0}$  is such that  $\bar{a}/\bar{b} = \bar{a}_0/\bar{a}_1$ , the interval  $[\bar{b}_1, \bar{b}_0]$ , which is minimal for the property  $\bar{S}_{(0, \dots, \bar{b}, \dots, 0)} \subseteq \mathbf{R}^n \times \{(0, \dots, \bar{x}, \dots, 0), \bar{x} \in [\bar{b}_1, \bar{b}_0]\}$ , satisfies  $\bar{b}_0 = \bar{a}_1$  and  $\bar{a}/\bar{b} = \bar{b}_0/\bar{b}_1$ . It turns out that  $\bar{b}_0^2 = \bar{a}_0\bar{b}_1$ . We note that if there is no coincidence between the  $F_\Psi$ -unstable manifolds of points in  $W_\Psi^2$  and the  $F_\Psi$ -stable ones of points in  $W_\Psi^1$ , then necessarily  $a_0 \neq a_1$  and  $b_0 \neq b_1$ . Let us fix  $\bar{a}_0$  (we do not change it for  $\Psi$  close to  $\phi$ ); clearly the points  $\bar{b}_0 = \bar{a}_1$  and  $\bar{b}_1$  depend on  $\Psi$ :  $\bar{b}_0 = \bar{b}_0(\Psi)$  and  $\bar{b}_1 = \bar{b}_1(\Psi)$ .



Now we impose *condition C2* on  $H_\phi = g$ ; none of the coordinates of  $H_\phi^p(\bar{a}_0) \in \{0\} \times \mathbb{R}^m$  are zero. This assumption still holds for  $H_\Psi^p(\bar{a}_0)$ , for  $\Psi$  close to  $\phi$ . Applying  $H_\Psi^p$  to  $\mathbb{R}^n \times \{(0, \dots, \bar{x}, \dots, 0), \bar{b}_1 \leq \bar{x} \leq \bar{a}_0\}$  we get a cylinder over the curve whose endpoints are  $H_\Psi^p(\bar{a}_0)$  and  $H_\Psi^p(\bar{b}_1)$  (this curve contains  $H_\Psi^p(\bar{a}_1)$ ). For some  $(a, b) \in Ox_{i_0} \times Ox_{i_0}$  we have

$$S_{(0, \dots, a, \dots, 0)} = H_\Psi^p(\bar{S}_{(0, \dots, \bar{a}, \dots, 0)}) \subseteq \mathbb{R}^n \times \{z \in \widehat{H_\Psi^p(\bar{a}_1), H_\Psi^p(\bar{a}_0)}\}$$

and

$$S_{(0, \dots, b, \dots, 0)} = H_\Psi^p(\bar{S}_{(0, \dots, \bar{b}, \dots, 0)}) \subseteq \mathbb{R}^n \times \{z \in \widehat{H_\Psi^p(\bar{b}_1), H_\Psi^p(\bar{b}_0)}\};$$

clearly  $H_\Psi^p(\bar{a}_1) = H_\Psi^p(\bar{b}_0)$ . Lemma 1 again implies  $(H_\Psi^p(\bar{a}_0))_j / (H_\Psi^p(\bar{a}_1))_j = a_j / b_j$  and  $(H_\Psi^p(\bar{b}_0))_j / (H_\Psi^p(\bar{b}_1))_j = a_j / b_j, j = 1, \dots, m$  ( $(\cdot)_j$  stands for the  $j$ th coordinate of  $(\cdot) \in \mathbf{R}^m$ ) and from that

$$(H_\Psi^p(\bar{a}_0))_j / (H_\Psi^p(\bar{b}_0))_j = (H_\Psi^p(\bar{b}_0))_j / (H_\Psi^p(\bar{b}_1))_j$$

or

$$(H_\Psi^p(\bar{b}_0))_j^2 = (H_\Psi^p(\bar{a}_0))_j \cdot (H_\Psi^p(\bar{b}_1))_j, \quad j = 1, \dots, m.$$

We have obtained that for some interval  $I$  around  $\bar{a}_0$  the map  $H_\Psi^p: I \rightarrow \mathbf{R}^m$  has the following property. If there is no coincidence between the  $F_\Psi$ -stable manifolds of points in  $W_\Psi^1$  and the  $F_\Psi$ -unstable ones of points in  $W_\Psi^2$ , then there exists a point  $\bar{b}_0$  (depending on  $\Psi$ ) close to  $\bar{a}_0$  but  $\bar{b}_0 \neq \bar{a}_0$  such that

$$(H_\Psi^p(\bar{b}_0))_j^2 = (H_\Psi^p(\bar{a}_0))_j \cdot (H_\Psi^p(\bar{b}_0^2 / \bar{a}_0))_j, \quad j = 1, \dots, m. \quad (*)$$

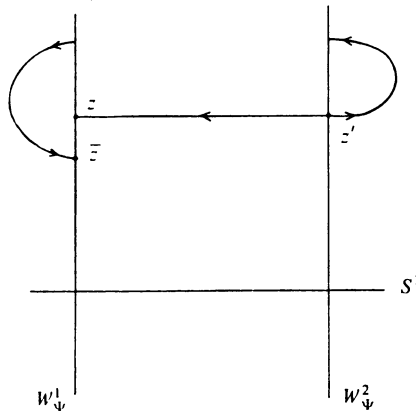
For the action  $\phi$ ,  $(*)$  holds when  $\bar{b}_0 = \bar{a}_0$ . Now we give a condition on  $\phi$  to ensure that  $(*)$  holds only if  $\bar{a}_0 = \bar{b}_0$  and not only for  $\phi$  but even for  $\Psi$  close enough to  $\phi$ . Consider  $\alpha_\Psi: I \rightarrow \mathbf{R}^m$ .

$$\alpha_\Psi(x) = ((H_\Psi^p(x))_1^2 - (H_\Psi^p(\bar{a}_0))_1 \cdot (H_\Psi^p(x^2 / \bar{a}_0))_1, \dots, (H_\Psi^p(x))_m^2 - (H_\Psi^p(\bar{a}_0))_m \cdot (H_\Psi^p(x^2 / \bar{a}_0))_m).$$

Clearly  $\alpha_\Psi(\bar{a}_0) = \alpha'_\Psi(\bar{a}_0) = 0$ . Now we perturb slightly the generator  $H_\phi = g$  (same notation as before) in the  $C^3$ -topology to get condition C3,  $\alpha''_\phi(\bar{a}_0) \neq 0$ . For the new action, we have the same properties as already obtained, but  $\alpha_\phi(x) = 0$  for  $x \in I$  close to  $\bar{a}_0$  if and only if  $x = \bar{a}_0$ .

If  $\Psi$  is  $C^2$ -close to  $\phi$ , we still may say that  $\alpha''_\Psi(\bar{a}_0) \neq 0$ . This implies that the equality  $\alpha_\Psi(x) = 0$  for  $x$  close to  $\bar{a}_0$  holds only if  $x = \bar{a}_0$ . Therefore we have  $\bar{b}_0 = \bar{a}_0$  in  $(*)$ , that is, the submanifold  $S_{(0, \dots, a, \dots, 0)} \subseteq W_{F_\Psi}^s(H_\Psi^p(\bar{a}_0))$ . Now Lemma 1 guarantees that the  $F_\Psi$ -stable manifolds of the points in  $W_\Psi^2$  coincide with the  $F_\Psi$ -stable ones of the points in  $W_\Psi^1$ .

Proceeding as before we change  $F_\phi = f$  in order to get coincidence between the  $H_\Psi$ -stable manifolds of the points in  $V_\Psi^1$  and the  $H_\Psi$ -unstable ones of the points in  $V_\Psi^2$ , for  $\Psi$  close enough to  $\phi$  in the  $C^3$ -topology. We impose on  $f$  conditions C4 and C5 analogous to C2 and C3 for  $g$ .



**REMARK.** Connectedness of fundamental domains of  $f|_{V_\phi^1}$  and  $g|_{W_\psi^1}$  is needed in the proof above. In the case  $n = 1$  or  $m = 1$  the proof ends as follows (assume  $n = 1$ ). Given  $z \in W_\psi^1$ , one of the connected components of  $W_{F_\psi}^2(z) - \{z\}$  coincides with one of the components of  $W_{F_\psi}^2(z') - \{z'\}$  for some  $z' \in W_\psi^2$ . The other component of  $W_{F_\psi}^2(z') - \{z'\}$  is equal to one of the components of  $W_{F_\psi}^2(\bar{z}) - \{\bar{z}\}$  for some  $\bar{z} \in W_\psi^1$ . The map  $z \rightarrow \bar{z}$  is a  $C^\infty$  diffeomorphism close to Id (if  $\Psi$  is close to  $\phi$ ) and belongs to the centralizer of  $H_\psi: W_\psi^1 \rightarrow W_\psi^1$ . Therefore  $\bar{z} = z$  by the claim of Step 1.

**Step 5.** Now we construct the conjugacy between actions  $\phi$  (described before) and  $\Psi$   $C^3$ -close to it. We know that there exist homeomorphisms  $h_V: V_\phi^1 \rightarrow V_\psi^1$  and  $h_W: W_\phi^1 \rightarrow W_\psi^1$  such that  $h_V \cdot (F_\phi)|_{V_\phi^1} = (F_\psi)|_{V_\psi^1} \cdot h_V$  and  $h_W \cdot (H_\phi)|_{W_\phi^1} = h_W \cdot (H_\psi)|_{W_\psi^1}$  (this was proved in Step 1). Given  $z \in S^n \times S^m$ , we have  $\{z\} = W_{H_\phi}^s(z_1) \cap W_{F_\phi}^s(z_2)$  for  $z_1 \in V_\phi^1$  and  $z_2 \in W_\phi^1$ . Define  $h: S^n \times S^m \rightarrow S^n \times S^m$  by  $h(z) = W_{H_\psi}^s(h_V(z_1)) \cap W_{F_\psi}^s(h_W(z_2))$ ; it is easy to see that  $h$  is a homeomorphism and  $hF_\phi = F_\psi h$  and  $hH_\phi = H_\psi h$ .

**REMARK.**  $\phi$  is not locally structurally stable at its fixed points. The reason is the following. If  $\Psi$  is close to  $\phi$  but is defined only on a neighborhood  $V$  of a fixed point of  $\phi$  we can not guarantee that  $\Psi$  is the identity on some  $\Psi$ -invariant submanifold in  $V$ .

**3. Stable foliations.** Let  $T^2 = S^1 \times S^1$  and  $\phi: \Pi_1(T^2) \rightarrow \text{Diff}^\infty(S^n \times S^m)$  be the action of the Theorem. The *suspension* of  $\phi$  is the foliation defined as follows. Take in  $\mathbf{R}^2 \times S^n \times S^m$  the trivial foliation  $\mathcal{F}$  by leaves  $\mathbf{R}^2 \times (x, y)$  and the equivalence relation

$$(u, v, x, y) \sim (u', v', x', y') \Leftrightarrow \begin{cases} (u - u', v - v') \in \mathbf{Z} \times \mathbf{Z}, \\ f^{(u-u')}(x) = x', \\ g^{(v-v')}(y) = y', \end{cases}$$

where  $f = \phi(1, 0)$  and  $g = \phi(0, 1)$ .

Let  $\Pi: \mathbf{R}^2 \times S^n \times S^m \rightarrow \mathbf{R}^2 \times S^n \times S^m / \sim$  be the quotient map; define  $\mathcal{F}(\phi)$  as  $\Pi_*(\mathcal{F})$ . It is not difficult to show that  $\mathcal{F}(\phi)$  is structurally stable (as a foliation) if and only if  $\phi$  is structurally stable (as an action). It follows from our theorem that  $\mathcal{F}(\phi)$  is  $C^3$  structurally stable.

This kind of construction was done in [6] for representations  $\rho: \Pi_1(N) \rightarrow \text{Diff}^\infty(M)$  ( $M$  and  $N$  are differentiable manifolds and  $\Pi_1(N)$  is finitely generated) satisfying  $\rho(g_1) = f$ ,  $\rho(g_i) = \text{Id}$ ,  $i = 2, \dots, k$ , where  $\{g_1, \dots, g_k\}$  are generators of  $\Pi_1(N)$  and  $f \in \text{Diff}^\infty(M)$  is a structurally stable diffeomorphism with discrete centralizer. Our example shows that this is not the only possible way of getting stable representations. See [6] for further information.

Now we should like to pose some questions. (1) Is it possible to prove the theorem using general Morse-Smale diffeomorphisms? (2) Does our construction extend to  $\mathbf{Z} \times \mathbf{Z} \times \dots \times \mathbf{Z}$ -stable actions? (3) Does every manifold have a nonelementary  $\mathbf{Z} \times \mathbf{Z}$  structurally stable action?

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